

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: David S. MILLER

Application No.: 09/803,667

Confirmation No.: 9714

Filed: March 12, 2001



Art Unit: 2163

Examiner: Not Yet Assigned

Attorney Docket No.: 31921-169499

For: FULLY-AUTOMATED SYSTEM  
FOR TAX REPORTING,  
PAYMENT AND REFUND AND  
SYSTEM FOR ACCESSING  
TAX INFORMATION

Customer No.:



26694

Patent and Trademark Office

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This is an Information Disclosure Statement submitted under 37 C.F.R. § 1.97 within the time specified under 37 C.F.R. § 1.97(b). The U.S. Patent and Trademark Office is notified of the documents which are listed on the attached Form PTO-1449. One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits.

Please charge any necessary fee or credit any overpayment in connection with this  
Information Disclosure Statement to Deposit Account No. 22-0261.

Dated: June 22, 2001

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Michael A. Sartori", written over a horizontal line.

Michael A. Sartori, Ph.D.

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**Complete if Known**

Publication Number	09/803,667
Filing Date	March 12, 2001
First Named Inventor	David S. MILLER
Group Art Unit	2163
Examiner Name	Not Yet Assigned
Attorney Docket Number	31921-169499

Sheet	1.	of	3
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[illegible][illegible]Date  
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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 3

### Complete if Known

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### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AF	TOM NEGRINO, "Quicken Deluxe 6", MacWorld Reviews, February 1996, pp. 1-3, <a href="http://www.macworld.com/1996/02/reviews/1851.html">www.macworld.com/1996/02/reviews/1851.html</a>	
	AG	"Microsoft Online-Banking Strategy Gains Wide Industry Support", May 8, 1996, pp. 1-2, <a href="http://www.microsoft.com/PressPass/press/1996/may96/hmbankpr.asp">www.microsoft.com/PressPass/press/1996/may96/hmbankpr.asp</a>	
	AH	"Intuit Will Create Comprehensive Framework For Financial Data Exchange Using The Internet", September 16, 1996, pp. 1-4, <a href="http://www.intuit.com/corporate/press_releases/091696b.html">www.intuit.com/corporate/press_releases/091696b.html</a>	
	AI	"OpenExchange", September 16, 1996, pp. 1-11, <a href="http://www.intuit.com/corporate/press_releases/091696_white_paper.html">www.intuit.com/corporate/press_releases/091696_white_paper.html</a>	
	AJ	"Microsoft Delivers Open Internet Banking Solutions Today", September 30, 1996, pp. 1-4, <a href="http://www.microsoft.com/PressPass/press/1996/sept96/INETBKPR.asp">www.microsoft.com/PressPass/press/1996/sept96/INETBKPR.asp</a>	
	AK	"Microsoft Announces Availability of Active Statement Technology For Microsoft Money 97", November 18, 1996, pp.1-3, <a href="http://www.microsoft.com/PressPass/press/1996/Nov96/money97.asp">www.microsoft.com/PressPass/press/1996/Nov96/money97.asp</a>	
	AL	"Intuit, Microsoft and Checkfree Create Open Financial Exchange", January 16, 1997, pp. 1-6, <a href="http://www.intuit.com/corporate/press_releases/011697.html">www.intuit.com/corporate/press_releases/011697.html</a>	
	AM	"Intuit Makes Tax Preparation Software Available Free On The Internet", January 27, 1997, pp.1-2, <a href="http://www.intuit.com/corporate/press_releases/012797.html">www.intuit.com/corporate/press_releases/012797.html</a>	
	AN	"Confusing Tax Lingo Delays Americans From Filing", February 3, 1997, pp. 1-2, <a href="http://www.intuit.com/corporate/press_releases/020397.html">www.intuit.com/corporate/press_releases/020397.html</a>	
	AO	"Open Financial Exchange Winning Broad Support From Financial Services And Technology Companies", February 19, 1997, pp.1-3, <a href="http://www.intuit.com/corporate/press_releases/021997.html">www.intuit.com/corporate/press_releases/021997.html</a>	
	AP	"Open Financial Exchange - About OFX", 1 page, <a href="http://www.ofx.net/ofx/ab_main.asp">www.ofx.net/ofx/ab_main.asp</a>	

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Signature

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\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

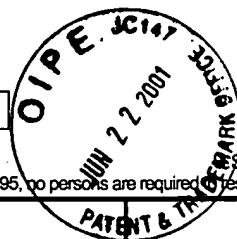
<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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	AQ	"Linking Quicken to TurboTax" (with two pages of Table of Contents), pp. 1-3, <a href="http://www.cma.zdnet.com/book/quicken/ch22/ch22.htm">www.cma.zdnet.com/book/quicken/ch22/ch22.htm</a>	
	AR	"QIF Definition", pp. 1-6, <a href="http://www.respmech.com/mym2qifw/qif_new.htm">www.respmech.com/mym2qifw/qif_new.htm</a>	
	AS	"Quicken - Answers", pp. 1-2, <a href="http://www.intuit.com/support/quicken/faqs/win3/5176.html">www.intuit.com/support/quicken/faqs/win3/5176.html</a>	
	AT	"Quicken Deluxe 98", pp. 1-4, <a href="http://www.mcronline.com/Quicken98.htm">www.mcronline.com/Quicken98.htm</a>	
	AU	"Quicken Deluxe 98: taxes", pp.1-2, <a href="http://www.cnet.com.sg/Briefs/Comparisons/Money/ss02g.html">www.cnet.com.sg/Briefs/Comparisons/Money/ss02g.html</a>	
	AV	"Quicken QIF Alert", pp.1-4, <a href="http://www.fi.inuit.com/technical/qif_fi_alert.cfm">www.fi.inuit.com/technical/qif_fi_alert.cfm</a>	
	AW	"Shortcutz", Intuit Quicken® 99", pp. 1-2, <a href="http://www.educationalmultimedia.com/shortcutz/spreadsheets/quicken99.cfm?1">www.educationalmultimedia.com/shortcutz/spreadsheets/quicken99.cfm?1</a>	
	AX		
	AY		
	AZ		
	BA		

Examiner Signature		Date Considered	
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